

Application No. :

NON-INDIVIDUAL
CLIENT
REGISTRATION
FORM



Branch Name/ AP :

Client Name:

PAN Number:

RM Name & Code:





O U R S T O R Y

Why the name is **ESPS..**

The Name is Inspired by
our philosophy of
Mindful Investment,
these are the **Four Pertinent**
pointers in selecting a Winner
company of the Future, which are
Easy Sustainable Profitable
and **Scalable** - **ESPS**,
A constant reminder of
Mindful Investing.

The logo is a **Three Pointed Star**
which suggests Ever Progressive
Attitude, Dedication and
Diligence for Overall Growth
of the Company and its
Valuable Customers.



INDEX OF DOCUMENTS









MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	KRA Form and Account Opening Form (Annexure 1)	A. KYC Form - Document captures the basic information about the constituent and an instruction / check list. B. Document captures the additional information about the constituent relevant to Trading account and an instruction / check list.	1 - 6
2.	Tariff sheet	Document detailing the rate / amount of brokerage & other charges levied on the client for trading on Stock Exchange(s).	7
3.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, and client for trading on exchanges (including additional rights & obligations in case of internet /wireless technology based trading)	Separate copy provided to client. Also made available at www.espscapital.com
4.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
5.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
6.	Policies and Procedure	Document describing significant policies and procedures of the stock broker	
7.	Rights and Obligations	Rights and Obligations of Beneficial Owner and Depository Participant as Prescribed by SEBI and Depositories	

VOLUNTARY DOCUMENTS AS PROVIDED BY THE SECURITY BROKERS

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5.	Power of Attorney	Transfer of Shares from client BO Account to Members Pool / Margin account	14

IMPORTANT NOTE

 Signature of Client / First Holder	 Signature of Second Holder	 Signature of Third Holder	 Signature of Introducer
 Signature of Guardian	 Authorised Signatory of Member	 Signature of First Witness	 Signature of Second Witness

IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is mandatory for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. Strike off whichever option, in the account opening form, is not applicable.
5. All Originals to be produced for physical verification.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. **For Non-Individuals**
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year).
	• Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
	• Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
	• Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
	• Copies of the Memorandum and Articles of Association and certificate of incorporation.
	• Copy of the Board Resolution for investment in securities market.
	• Authorised signatories list with specimen signatures.
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year).
	• Certificate of registration (for registered partnership firms only).
	• Copy of partnership deed.
	• Authorised signatories list with specimen signatures.
	• Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year).
	• Certificate of registration (for registered trust only).
	• Copy of Trust deed.
	• List of trustees certified by managing trustees/CA.
	• Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF
	• Deed of declaration of HUF/List of coparceners.
	• Bank pass-book/bank statement in the name of HUF.
	• Photograph, POI, POA, PAN of Karta
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document.
	• Resolution of the managing body & Power of Attorney granted to transact business on its behalf.
	• Authorized signatories list with specimen signatures.
Banks/ Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.
	• Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate.
	• Authorized signatories list with specimen signatures.
Army/ Government Bodies	• Self-certification on letterhead.
	• Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act.
	• List of Managing Committee members.
	• Committee resolution for persons authorised to act as authorised signatories with specimen signatures.
	• True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name		
1.	Are you U.S. Person? (Refer KYC Handout - Customer Copy)	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (Tax Residency)	<input type="checkbox"/> India <input type="checkbox"/> Other
3.	Specify country of citizenship	<input type="checkbox"/> India <input type="checkbox"/> Other

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from <http://www.espscapital.com> or call at centralized helpdesk at Toll free : 1800 267 6520 or write to compliance@espscapital.com

Client Signature

FIRST HOLDER
ANNEXURE 1 - PART 1
KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR NON - INDIVIDUALS ONLY)


Application No. :

Please fill in English & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

1. Name of Applicant	FIRST NAME	MIDDLE NAME	LAST NAME
2. Date of Incorporation	Place of Incorporation		
3. Date of Commencement of business			
4. a) PAN	b) Registration No. (e.g. CIN)		
5. Status (please tick any one):			
<input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust <input type="checkbox"/> Charities <input type="checkbox"/> NGO's <input type="checkbox"/> Bank			
<input type="checkbox"/> Government Body <input type="checkbox"/> Non Government Organization <input type="checkbox"/> Partnership <input type="checkbox"/> Defense Establishment			
<input type="checkbox"/> Society <input type="checkbox"/> LLP <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> BOI			
<input type="checkbox"/> Others (please specify) _____			

PHOTOGRAPH
 Please affix your recent passport and sign across it



B. ADDRESS DETAILS

1. Residence / Correspondence Address			
City/Town/Village	District		
Pin Code	State	Country	
2. Contact/Mobile No	Tel (Res.)		
Tel (Off.)	Email id		
3. Specify the proof of address submitted for Residence / Correspondence Address:			
4. Permanent Address			
(if different from above mandatory for non-resident applicant to specify overseas address)			
City/Town/Village	District		
Pin Code	State	Country	

C. OTHER DETAILS

1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:	If space is insufficient, enclose these details separately [Illustrative format enclosed]
2. DIN of whole time directors:	
3. Aadhaar number of Promoters/Partners/Karta	


DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place	Signature of Applicant	
Date		

FOR OFFICE USE ONLY

Originals verified and Self-Attested Documents copies received

Name			
Date	DD / MM / YYYY	DD / MM / YYYY	
Signature			

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Sr. No.	Name	Relationship with Applicant (i.e. promoters, whole time directors etc.)	PAN	Residential / Registered Address	DIN of whole time directors/ Aadhaar number of Promoters/ Partners/Karta	Photograph
1						
2						
3						
4						

Name: _____ **Authorised Signatory:** _____

Date: _____

ANNEXURE 1 - PART 2

A. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole / First Holder	Second Holder	Third Holder
Name			
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Please specify; _____)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional
Brief details:			

B. TYPE OF ACCOUNT

Body Corporate
 FI
 Qualified Foreign Investor
 Mutual Fund
 HUF
 OCB
 CM
 Trust
 FII
 Bank
 Others (Please specify) _____

For HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the karta, partner(s), trustee(es) etc., the name & PAN of the HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:

a) Name	b) PAN	
Registration Details	<input type="checkbox"/> ROC <input type="checkbox"/> SEBI <input type="checkbox"/> RBI <input type="checkbox"/> Other Government Authority	
RBI Registration No.	Approval Date	
SEBI Registration No.	Registration Date	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other	

C. IN CASE OF NRIs/ FOREIGN NATIONALS

RBI Approval Reference Number		RBI Approval Date	DD / MM / YYYY
SEBI Registration Number (for FIIs)			
Nature of Business			




D. BANK ACCOUNT DETAILS (BANK 1 WILL BE CONSIDERED FOR PAYOUT OF FUNDS)

Bank 1 Details	Bank 2 Details
Bank Name	
Bank Address	
Account No.	
City/Town / Pincode	
Account Type	Savings/Current/Others in case of NRE/NRO
MICR Code	
IFSC Code	

E. DEPOSITORY ACCOUNT DETAILS

DP 1 Details	DP 2 Details
Depository	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	
Client Name	
DP ID	12082900
BO ID	

F. TRADING PREFERENCES

Exchange	Segment	Signature of the Applicant
NSE / BSE	Cash	FH  4/13
NSE / BSE	F&O	FH  5/13
NSE / BSE	Currency Derivatives	FH  6/13

In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document

G. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002

Gross Annual Income	<input type="checkbox"/> Below 20 lac <input type="checkbox"/> 20 - 50 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 50 - 1 crore <input type="checkbox"/> > 1 crore		
	OR Net Worth in (*Net worth should not be older than 1 year) as on date DD / MM / YYYY		
	For the Financial Year DD / MM / YYYY		

Is the Client Politically Exposed Person (PEP) or Related to a PEP Yes No

(* Net worth should not be older than 1 year)

H. INVESTMENT / TRADING EXPERIENCE

No Prior Experience ____ Years in Securities ____ Years in other investment related fields

I. Name & Designation of persons authorised to deal in Securities on behalf of the Company / Firm / Other and their Residential Address

Name :	Designation :
Residential Address :	
Name :	Designation :
Residential Address :	
Name :	Designation :
Residential Address :	

J. EDUCATIONAL QUALIFICATION


UNDER GRADUATE
 GRADUATE
 POSTGRADUATE
 PROFESSIONAL

K. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / Security Exchange / any other authority against the client during the last 3 years:

No If yes, please specify

L. INTRODUCER

Status of Introducer <input type="checkbox"/> AP <input type="checkbox"/> Employee <input type="checkbox"/> Existing Client <input type="checkbox"/> Others (Specify):	
Introducer Name: Address: AP/Emp/Client Code:	
Mobile No: Signature of the AP / Introducer 	

M. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:

Name of Stock Broker	Name of AP
Name of Exchange	Client Code (as given by other broker)

Details of disputes/dues pending from/to such stock broker:

Whether you are a Member / AP of any Exchange

If yes, provide SEBI REGISTRATION NO:

N. Clearing Member Details (to be filled up by Clearing Members only)

1	Name of Stock Exchange	
2	Name of Clearing Corporation / Clearing House	
3	Clearing Member ID	
4	SEBI Registration Number	
5	Trade Name	
6	CM-BP-ID (to be filled up by Participant)	

O. Financial Details

	P.A.N./G.I.R. No.	IT Circle/Ward District No.
Sole/First Holder		
Second Holder		
Third Holder		

P. STANDING INSTRUCTIONS

I/We instruct the DP to receive each and every credit in my/our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical
Whether you wish to receive rights & obligation, uniform RDD, Do's & Don'ts either in electronic form or physical note	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical
I/We request you to send Electronic Transaction-cum-Holding Statement at the Email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/ We wish to receive dividend/interest directly in to my bank account as given below through ECS (If not marked, the default option would be Yes) [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We request that I/We may be provide with the copy of Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and Depositories in the following form (Please tick the applicable box)	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form (through E-mail)

Whether you wish to receive Physical Contract Note (PCN) or Electronic Contract Note (ECN) Specify your Email ID, if applicable		<input type="checkbox"/> Electronic <input type="checkbox"/> Physical									
Whether you wish to avail of the facility of internet trading/wireless technology		<input type="checkbox"/> Yes <input type="checkbox"/> No									
I/We do not wish to opt for BSDA facility (tick applicable box)		<input type="checkbox"/> Yes <input type="checkbox"/> No									
SMS Alert Facility Refer to T&C given as Annexure - 2.4	Mobile No. <input type="text"/> [[Mandatory , if you are giving Power of Attorney (POA)] [If POA is not granted & you do not wish to avail of this facility, cancel this option].	<input type="checkbox"/> Yes <input type="checkbox"/> No									
Transactions Using Secured Texting Facility (TRUST). Refer to T&C Annexure 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the terms and conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name/ID</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name/ID	Clearing Member ID (Optional)							<input type="checkbox"/> Yes <input type="checkbox"/> No
Stock Exchange Name/ID	Clearing Member Name/ID	Clearing Member ID (Optional)									
Easi	To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	<input type="checkbox"/> Yes <input type="checkbox"/> No									

Receive Delivery Instruction Slip

- I / We request you to issue Delivery Instruction Slip (DIS) booklet to me / us on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with Tradenext Securities Limited for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.
- I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with IMSPL for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later day.

Account Statement Requirement
 As per SEBI Regulation Daily Weekly Fortnightly Monthly

GUARDIAN DETAILS (where sole holder is a minor):

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name	PAN Card
Relationship of guardian with minor	

NOMINATION OPTION
 I/We wish to make a nomination I/We do not wish to make a nomination

DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it.
- I/We confirm having read/ been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document'. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Date: DD / MM / YYYY





Place :

(First/Sole Holder Signature)

(Second Holder Signature)

(Third Holder Signature)

BROKERAGE DETAILS

Name	Silver <input type="checkbox"/>	Gold <input type="checkbox"/>	Premium <input type="checkbox"/>	Diamond <input type="checkbox"/>	Platinum <input type="checkbox"/>
Margin (INR)	0 to 10 lakh	10 to 25	25 to 50	50 to 1 Cr	1 Cr & Above
BROKERAGE					
Cash Delivery	0.30	0.25	0.20	0.15	0.10
Cash Intraday	0.03	0.025	0.02	0.015	0.01
Futures	0.02	0.02	0.02	0.015	0.01
Options Per Lot	50	40	30	20	10
Currency	25	20	15	10	7
Account Opening Fees	250	250	250	Free	Free

OTHER CHARGES

Stamp Duty
 Statutory Charges
 GST
 STT
 SEBI FEE

CHARGES FOR DEPOSITORY SERVICES

A	Annual Maintenance Charges (AMC)	Non-Individual	Corporate
		Rs. 300/- + GST	RS. 1500/- + GST
B	Transaction Charges	Market	Off-Market
	BUY	NIL	NIL
	SELL	Rs. 10/- + Rs.5.50 (CDSL Charges)	0.03% or Rs. 25/- whichever is higher
C	DEMAT/REMAT	Rs. 130/- per Certificate	CDSL Charges as applicable
D	Pledge/Unpledge/Pledge Invocation	Rs. 20/- + Rs. 12/- (CDSL Charges) per request	CDSL charges not applicable for invocation
E	Delivery Instruction Slip	Rs. 100/- per booklet (10 Leaves)	
F	KRA upload/download	Rs. 50/-	
G	Cheque Bounce Charges	Rs. 350/-	

NOTE :

1. Minimum Brokerage will be applicable for F&O trading.
2. Brokerage rate will not exceed the maximum rate as prescribed by SEBI / Exchanges.
3. All regulatory and statutory levies will be charged at Actual to the client, based the rate prevailing from time to time.
4. Fees schedule based on existing CDSL charges and is subject to change at the sole discretion of the Tradenext Securities Limited after giving 30 days notice.
5. GST Tax extra as applicable.
6. All charges are payable monthly.
7. All reference prices will be BSE price.
8. There will be no on Market Transfer Charges for Pay In into Tradenext Securities Limited w.e.f. 01.04.2006.
9. I/We authorise TSL to collect my/our DP charges from my trading account.

 FH
8/13

(First/Sole Holder Signature)

 SH
2/3

(Second Holder Signature)

 TH
2/3

(Third Holder Signature)

Date : DD / MM / YYYY

Place: _____

APPENDIX A : RUNNING ACCOUNT AUTHORISATION (VOLUNTARY)

I/We are dealing through you as a client in Capital Market and/or Future & Option Segment and/or Currency Segment and/or Interest Rate Future Segment & in order to facilitate ease of operations and upfront requirement or margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you otherwise.
2. I/We request you to settle my fund and release excess securities if any held in "TM/CM Margin Pledge" account along with the funds' settlement after making necessary retention as per frequency option given below:-
 - Once in a calendar Month
 - Once in every calendar Quarter
 except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
3. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds

and securities towards my settlement / margin obligations and may also retain the fund expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

4. I/ we hereby agree and understand that all accounts with credit balances of Rs. 10000/- or lesser shall automatically be retained and no transfer of funds to the bank account shall be made as specified in SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849 & 20091204-7.
5. I/We confirm you that I/We shall bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. This Running account authorization would continue until it is revoked by me by giving a notice in writing.

Client Signature



ARRANGEMENT WITH AUTHORISED PERSON

I/We confirm that I/we have been introduced to Tradenext Securities Limited (TSL) by an Authorised Person (AP) (as per mentioned below) appointed by TSL pursuant to relevant Exchange(s) norms. In this connection I/we am/are aware of the following: The AP shall not receive or pay any money or securities in his/her/its own name or account. All receipts and payments of securities and funds shall be made only in the name of the TSL; The AP shall receive his/its remuneration-fees, charges, commissions, salary etc for his/its services only from the TSL and he/she/it shall not charge any amount whatever from the client; In view of the foregoing, I/we acknowledged that the AP is not authorised by TSL to enter into any private arrangement with any clients of TSL including those introduced by him/her/it.

Between me/us and the AP. I/we agree that all debit instruction slips and other documents in respect of my/our depository Beneficial Owner account with Tradenext Securities Limited (TSL) shall not be left in the custody of the AP, either blank, partially filled up and/or executed/signed. I/ we further agree and accept that I/we will not hold TSL responsible for any loss, damage, other liability or consequence, direct or indirect, arising out of my / our action in leaving such blank, partially filled up and/or executed/signed documents with the AP.

Client Signature



I/We hereby agree for the arrangement with the client
Accepted by Authorised Person

AP Signature



AP Name

AUTHORISATION FOR TRANSFER OF FUNDS AND / OR SECURITIES, INTER SEGMENT AND INTER EXCHANGE

I / We hereby authorize you as under:

1.To transfer funds and/or securities from my /our account in one segment against my/our obligations in another segment in the same exchange or different exchange.

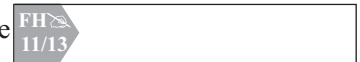
2.To transfer funds and/or securities from my/our account in one in one segment against collaterals/margin for my/our trade in another segment against collaterals /margin for my/ our trade in another segment in the same Exchange or different Exchange.

I/We am/are aware that the funds means monies that is lying as credit in my/our account or pay-outs that are to

be received from the exchanges arising out of sale securities. Similarly, I/We am/are aware that securities means, shares lying with you, on my/our behalf, for which I/We have fully paid for or margin or shares that are to be received as pay- out from the Exchange/s.

The above transfer of funds can be done either by way of journal entry (JV) or by way of physically exchanging cheques. In case if I/We wish to withdraw this authorization, I/We shall inform TSL in writing and acknowledge by TSL at least 15 day in advance from the date of withdrawal.

Client Signature



VOLUNTARY TERMS AND CONDITIONS

1. Policy for Penny Stock

A stock that trades at a relatively low price and market capitalization. These types of stocks are generally considered to be highly speculative and high risk because of their lack of liquidity, large bid-ask spreads, small capitalization and limited following and disclosure. Depend on the market condition and RMS policy of the company RMS reserve the right to refuse to provide the limit in Penny stocks and losses if any on account of such refusal shall be borne by client only.

2. Setting up clients exposure limits

The stock broker may from time to time impose and vary limits on the orders that the client can place a) through the stock broker's trading system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of b) which orders can be placed etc.). The client is aware and agrees that the stock broker may need to vary or reduce the limits or impose new limits urgently on the basis of the stock broker's risk perception and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/SEBI directions/limits (such as broker level/market level limits in security specific/volume specific exposures etc.). and the stock broker may be unable to inform the client of such variation, reduction or imposition in advance. The client agrees that the stock broker shall not be responsible for such variation, reduction or imposition or the client's inability to route any order through the stock broker's trading system on account of any such variation, reduction or imposition of limits. The client further agrees that the stock broker may at any time, at its sole discretion and without prior notice, prohibit or restrict the client's ability to place orders or trade in securities through the stock broker, or it may subject any order placed by the client to a review before its entry into the trading systems any may refuse to execute/allow execution of orders due to but not c) limited to the reason of lack of margin/securities or the order being outside

the limits set by stock broker/exchange/ SEBI and any other reasons which the stock broker may deem appropriate in the circumstances. The client agrees that the losses, if any on account of such refusal or due to delay caused by such review, shall be borne exclusively by the client alone.

We have margin based RMS system. Total deposits of the clients are uploaded in the system and client may take exposure on the basis of margin applicable for respective security as per VAR based margining system of the stock exchange and/or margin defined by RMS based on their risk perception.

In case of exposure taken on the basis of shares margin the payment is required to be made before the exchange pay in date otherwise it will be liable to square off after the pay in time or any time due to shortage of margin.

3. Imposition of penalty / delayed payment charges:

3.1 In case of delay in payment by the client to TSL on its due date, TSL in respect of such delayed payment will be entitled to recover late / delayed payment charges from due date. The said delayed payment shall include amounts payable by the client to TSL in respect of Initial margin, Mark to Market, shortfall of Margin(s) in prescribed modes, and /or other margins and pay in obligations. Late payment charges will be levied up to 2% per month or a part of month on delayed payment for the delayed period . The trade of the client may not be executed by TSL if the client does not clear trade related dues along with delayed payment charges. The client will not be entitled to any interest on the credit balance /excess margin available /kept with TSL.

3.2 A delayed payment charge is applied to act only as deterrent measure . The client should not consurate it as funding arrangement. The client cannot demand continuation of service on a continued basis citing levy of delayed payment charges .

3.3 TSL may impose fines /penalties for any order/ trades/deal /actions of the clients which are contrary to

this mandatory and voluntary Client Registration documents/rules/regulations/byelaws of the exchange or any other law for the time being in force at such rates and in such form as it may deem fit. Further where the TSL has to pay any fine or bear any punishment from any authority in connection with/as a consequence of/in relation to any of the order /trades /deal/actions of the client, the same will be borne by the client.

4. Shortage in Obligations arising out of internal netting trades.

4.1 Stock broker shall not be obliged to deliver any securities or pay any money to the client unless and until the same has been received by the stock broker from the exchange, the clearing corporation / clearing house or any other company or entity liable to make the payment and the client has fulfilled his/her/its obligation first.

The policy and procedures for settlement of shortages in obligations arising out of internal netting of trade is as under:

BSE

On Pay-In-Day

1. The short delivering client is debited by an amount (provisional) equivalent to closing price of T day.
2. The internal shortages will be reported to the Exchange for auction on the pay in date of a particular settlement.

On Auction-Day

1. The final amount will be debited to the client as per the Exchange auction/Closeout price along with reversal entry of provisional amount debited earlier.

NSE

On Pay-In-Day

1. The short delivering client is debited by an amount (provisional) equivalent to closing price of T+1 day.
2. The internal shortages will be purchased from the market and the purchase considerations (brokerage, other charges, statutory taxes & levies) is debited to the short delivering (seller) client.

On Auction-Day

1. Provisional amount debited will be reversed on this day.

If the securities cannot be purchased from market due to any force majeure condition (Circuit filter, corporate action or any other reasons), the short delivering seller is debited at Closed out rate. Close out rate will be highest price prevailing in the NSE from the day of the trading till the auction day or 20% above the official closing price on the auction day, whichever is higher and buyer will be credited at Closed out rate.

5. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client

We have margin based RMS system. Client may take exposure up to the amount of margin available with us. Client may not be allowed to take position in case of non-availability/shortage of margin as per our RMS policy of the company. The existing position of the client is also liable to square off/close out without giving notice due to shortage of margin/ non making of payment for their payin obligation/outstanding debts.

6. Temporarily suspending or closing a client's account at the client's request

On the request of the client in writing, the client account can be suspended temporarily and same can be activated on the written request of the client only. During the period client account is suspended, the market transaction in the client account will be prohibited. However client shares/ledger balance settlement can take place. On the request of the client in writing, the client account can be closed provided the client account is settled. If the client wants to reopen the account in that case client has to again complete the KYC requirement.

7. De-registering a client :-

Not withstanding anything to the contrary stated in the mandatory and non-mandatory client registration documents, the stock broker shall be entitled to terminate the mandatory and non-mandatory client registration documents with immediate effect in any of the following circumstances:

(i) If the action of the client are prima facie illegal / improper or such as to manipulate the price of any securities or disturb the normal/proper functioning of the market, either alone or in conjunction with others.

(ii) If there is any commencement of a legal process against the client under any law in force;

(iii) On the death/lunacy or other disability of the Client;

(iv) If the client being a partnership firm, has any steps taken by the Client and/or its partners for dissolution of the partnership;

(v) If the Client suffers any adverse material change in his/her/its financial position or defaults in any other mandatory and non-mandatory client registration documents with the Stock broker;

(vi) If there is reasonable apprehension that the Client is unable to pay its debts or the Client has admitted its inability to pay its debts, as they become payable;

(vii) If the Client is in breach of any term, condition or covenant of this mandatory and non-mandatory client registration documents;

(viii) If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the Security;

(ix) If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the Client;

(x) If the Client have taken or suffered to be taken any action for its reorganization, liquidation or dissolution;

(xi) If the Client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;

(xii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;

8. The Client agrees that TSL will not be responsible for partial execution of orders placed by the Client. The Client also agrees that all orders placed by him shall be treated as orders for that particular session only. In case

the Client wants the orders to be carried forward or extended for the day or for more number of days he shall communicate the same to TSL.

9. Undertaking for Services by way of SMS alerts / Whatsapp or any electronic mode by Trading Member on Mobile /Cellular phones or any other electronic gadgets.

I / we are having a trading account with Tradenext Securities Limited. for the purpose of trading on Exchanges as per the client registration document executed. I / we have registered the Mobile No. as mentioned in KYC Application form for receiving SMS alerts in respect of various services being offered by you including Trading Calls.

A. In respect of investment/trading advisory services received from the trading member, I/We undertake to the trading member and confirm to use our own judgement in taking a call on the said investment(s).

I/We also undertake to the trading member and confirm that I/we execute trades in the identified security(s) according to my/our financial strength/capability.

I/We declare and agree that the trading member shall not be responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of the SMS alerts(s) and / or investment advises sent by the trading member.

I/We shall not have any claim whatsoever against the trading member in respect of the above mentioned acts or omissions.

I /We hereby state that my number is not under Do Not disturb directory and I /We am availing this services on my own will and there will be no financial obligation of TSL in case of legal disputes.

B. In respect of all other intimation services offered by the trading member, I/We undertake to indemnify the trading member and absolve the trading member of any claims on account of various services rendered to me/us in respect of servicing my trading account with them.

10. Digitally signed Contract Notes/ Statement of Accounts: The Client hereby agrees and permits TSL to provide digitally signed contract notes through internet (web-based) and agrees as under:

10.1 With reference to Client Registration documents executed between us, I/We hereby authorized you to do the following:

10.2 I / We have been / shall be dealing through you as my / our broker on the Capital Markets and/or Future and Option Segments. As my / our broker i.e. agent I / We direct and authorize you to carry out trading / dealings on my /our behalf as per instructions given below.

10.3 I / We understand that, I / we have the option to receive the contract notes, Client Margin information, Statement of fund & Securities, DP statements, DP Bills and other details in physical form or electronic form. In pursuance of the same, I / we hereby opt for receipt of contract notes, Client Margin Information etc in electronic form. I / We understand that for the above purpose, you are required to take from the client an appropriate email account for you to send electronic contract notes. Accordingly, please take Email ID mentioned in this KYC application form for sending the contract notes and other documents to me / us.

10.4 I / We agree not to hold you responsible for late / non receipt of contract notes, Client Margin information and other details sent in electronic form and any other communication for any reason including but not limited to failure of email server, loss of connectivity, email in transit etc. I / we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes, Client margin information to me / us and shall not be disputed by me / us on account of any non receipt / delayed receipt for any reason whatsoever.

10.5 I / We also agree that non - receipt of bounced mail notification by you shall amount to delivery at my email account(s)/ email id(s).

10.6 I / We understand that I / we am / are required to intimate any change in the email id / email account mentioned herein above needs to be communicated by me / us through a physical letter to you, provided however that if I / we am /are an internet client then in that event the request for change in email id / email account can be made by me / us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my/our verbal directions / authorizations given and carried out by you earlier. I / We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

11. Investment Advice:

11.1 The client acknowledges that TSL shall not be liable to provide him any legal, tax, investment or account advice or advice regarding the suitability or profitability of a security or investment.

11.2 The client agrees that in the event of Security broker or any employee or official of Security broker providing any information, recommendation or advice to the client, the client may act upon the same at the sole risk and cost of the client and TSL shall not be liable or responsible for the same. The client assumes full responsibility with respect to his investment decisions and transactions. The Client agrees not to have any arrangement or understanding with any of the employee and/or remisser and /or franchisee and/or authorized person of any nature whatsoever in respect of transactions of purchases or sales of the shares and/or derivatives transactions and/or any fixed return of profit etc.

11.3 TSL, its officers, directors, partners, employees, agents and affiliates will have no liability with respect to any investment decisions or transactions of the client.

12. Tape recording of client conversation:

The client is aware that TSL may tape-record the conversations between the client and TSL, either personally or over the telephone, and the client hereby specifically permits TSL to do so. Such electronic recordings may be relied upon by TSL as and when required to resolve disputes in connection with the trading transactions. However in the event if such conversation are not recorded by TSL. I/We shall not hold TSL responsible for non recording of such conversation

13. Letter of Authority: I / We have been regularly trading and investing, or plan to do so, with you at NSE / BSE /

MSEI. To facilitate ease of operations, I/ we request and authorize you as under:

- 13.1 My/our orders for purchase/ sale of securities will be given on telephone or orally during my/ our visit to your office. I/ We further declare that all orders placed by me/ us with you will be for my/ our personal/ family account(s) and that I/ we will not operate for any client and will not issue any further contracts/ bills for the transactions executed through you.
- 13.2 I/We hereby authorise you to undertake any transfer interse of funds, securities, debits & credits available between various exchanges.
- 13.3 I am/we are aware that you are required to issue payment/delivery due to me/us as per the payout from the exchange. In order to facilitate operations, I/we hereby authorize you to maintain running accounts with you; to debit my/our running account for the margin/ funds & / deliveries payable by me/ us; to include any/all the pay out of funds & / or deliveries towards margin / delivery and/or towards pay in obligations and / or towards fund/deliveries payable by me/us, without any specific.
- 13.4 I / we also agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of such deliveries/funds under this agreement or otherwise.
- 13.5 Pledge / deposit my / our deliveries & / or funds whether deposited as collateral /margin or permitted by us to be retained in the running account etc. by you with any bank or any other institution including but not limited to the Exchanges (s) /Clearing Corporation / Clearing House for the purposes of raising funds, bank guarantees and FDRs etc., or towards Margin / collateral as also to meet shortfall in my / our fund/deliveries pay in obligation/ auctions or assignment of contracts or any other liability arising out of my/our dealings with you/ through you I/ we authorize you to do all such acts deeds and things as may be necessary and expedient for placing such deliveries with the Exchanges/banks/institutions as margin. You are further authorized to sell/ liquidate these deliveries / FDRs at the time and manner of your choice, as & when deemed fit by you in your absolute discretion to meet any shortfalls in my/our accounts or any other liability of mine/ ours without any reference to me/ us.
- 13.6 I / we agree that any loss due to any erroneous order entry / erroneous order modification shall be entertained by you only to the extent of insurance received.
- 13.7 I/We authorise you to maintain my/ our account, both funds and securities, with you on a running account basis and request you to consider the balances in my/ our running funds and securities account with you for the purpose of margins/ any other obligations due to you. In view of the same it would be proper for you to release the funds and securities due to me/ us on my/ our specific request, either written or oral. Further I/ we understand and agree that any credit amount lying with you will not attract any interest.
- 13.8 I/We agree and authorise you debit charges for depositories services and any other incidental charges to my / our running account.

13.9 I/We authorise you to liquidate/ close out ail or any of my/our positions for non-payment of margins or other account, outstanding debts etc. I/We agree to bear any and all losses and financial charges on account of such liquidation/closeout.

13.10 I/We agree that you may refuse to execute any particular transaction without assigning any reason therefor.

13.11 I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, strikes, failure of systems, failure of internet links, Government/ regulatory actions or any such other contingencies which may be beyond your control.

14. Declaration:

14.1 I/We an individual/a sole proprietary concern _____ having his/her/it's residence/ registered office at _____ -

_____. has entered into individual mandatory and non-mandatory client registration document, Client Registration Form, Risk Disclosure Documents, etc. for dealing in Cash Segment and/or Derivatives / Futures and Options Segment of National Stock Exchange of India Limited (NSE) and Bombay Stock Exchange Limited (BSE) / (NSE and BSE collectively known as Exchange) on _____ and _____.

14.2 I / We hereby declare that I am a regular investor in the stock markets in India. I/ We am / are conversant with the laws, practices, rules, regulations, guidelines, circulars, etc. prescribed by the Securities and Exchange Board of India (SEBI) and National Stock Exchange of India Limited. (NSE) and Bombay Stock Exchange Limited (BSE).

14.3 I/We hereby further declare that I am holding the shares in my demat account no. _____ with DP _____ I/We hereby further declare that I/We will not give any third party shares for settlement of my obligations to the Exchange.

14.4 I/We hereby further declare that I/ We will not receive or give any monies in cash or in kind for completing the settlement obligations to the Exchange. I / We hereby further declare that I / We are aware of the illegal practices that are prevalent in the Stock Market. I/We hereby further declare that I will not carry out any unfair trade practices such as Synchronized deals, Structured deals, Circular Trading in the Cash / Capital Market and Derivatives / Futures and Options segment. I /We hereby further declare that I/We will not place any order on the Exchange which will reflect as an arrangement for profit or loss transactions.

14.5 All the orders placed on the exchange will be in the normal market where there is corresponding underlying securities positions in the cash or futures segment of the respective Exchange.

14.6 I hereby further declare that I am aware of the following provisions of laws applicable to the Securities Market.

(A) Section 11 of the SEBI Act, 1992 read with 11B interalia prescribed that 11 (4) Without prejudice to

the provisions contained in sub-section (1) (2) (2A) and (3) of 11B , the Board may, by an order for reasons to be recorded in writing, in the interests of investors or securities market, take any of the following measures, either pending investigation or inquiry or on completions of such investigation or inquiry, namely: -

(b) restrain person from accessing the securities market and prohibit any person associated with securities market to buy, sell or deal in securities.

(B) Section 4 Prohibition of manipulative, fraudulent and unfair trade practices

14.7 Without prejudice to the provisions of regulation 3, no person shall indulge in a fraudulent or an unfair trade practices in securities.

14.8 Dealings in securities shall be deemed to a fraudulent or an unfair trade practices if it involves fraud and may include all or any of the following namely:-

(a) indulging in an act which creates false or misleading appearance of trading in the securities market;

(b) dealing in a security not intended to effect transfer of beneficial ownership but intended to operate only as a device to inflate, depress or cause fluctuations in the price of such security for wrongful gain or avoidance of loss;

(c) advancing or agreeing to advance any money to any person thereby inducing any other person to offer to buy any security in any issue only with the intention of securing the minimum subscription to such issue;

(d) paying, offering or agreeing to pay or offer, directly or indirectly, to any person any money or money's worth for inducing such person for dealing in any security with the object of inflating, depressing, maintaining or causing fluctuation in the price of such security;

(e) any act or omission amounting to manipulation of the price of a security;

(f) publishing or causing to publish or reporting or causing to report by any person dealing in securities any information which is not true or which he does not believe to be true prior to or in the course of dealing in securities;

(g) entering into a transaction in securities without Intention of performing it or without intention of change of ownership of such security;

(h) selling, dealing or pledging of stolen or counterfeit security whether in physical or dematerialized form;

(i) an intermediary promising a certain price in respect of buying or selling of a security to a client and waiting till a discrepancy arises in the price of such security and retaining the difference in prices as profit for himself;

(j) an intermediary providing his clients with such information relating to a security as cannot be verified by the clients before their dealing in such security;

(k) an advertisement that is misleading or that contains information in a distorted manner and which may influence the decision of the investors;

(l) an intermediary reporting trading transactions to his clients entered into on their behalf in an inflated

manner in order to increase his commission and brokerage;

(m) an intermediary not disclosing to his client transactions interceded Into on his behalf including taking an option position:

(n) circular transactions in respect of a security entered into between intermediaries in order to increase commission to provide a false appearance of trading in such security or to Inflate or depress or cause fluctuation in the price of such security;

(o) encouraging the clients by an intermediary to dealing in securities solely with the object of enhancing his brokerage or commission;

(p) an intermediary predated or otherwise falsifying records such as contract notes;

(q) an intermediary buying and selling securities in advance of a substantial client order or whereby a future or option position is taken about an impending transaction in the same or related futures or options contract;

(r) planting false or misleading news which may induce sale or purchase of securities.

14.9 I/ We hereby further declare that I/ We will not indulge either directly or indirectly in any of the above mentioned fraudulent or unfair trade practices either individually or in concert with other persons / entities. In the event of any of the above fraudulent or unfair trade practices is noticed by the Exchange or Regulatory Authorities, then I/We shall be solely responsible for such acts as noticed and you shall not be responsible for my illegal and fraudulent and unfair trade practices in the capital market segment and Futures and Options segment of the exchange. I shall bear the penalty or fine if incurred by TSL due to such fraudulent or unfair trade practices as mentioned above.

14.10 In case of any change in my US person status on a future date, I undertake to inform M/s. Tradenext Securities Ltd. the same within 30 days . I agree that if I have confirmed that I am a USA person , my account would be considered as U.S. Reportable Account and my account details, as required under Inter Governmental Agreement (IGA) signed by Indian Government, would be reported by M/s .Tradenext Securities Ltd. to the relevant tax authority identified in IGA.

14.11 I/We hereby authorised M/s. Tradenext Securities Limited (Broker BSE & NSE) to deposit the collateral provided by me onward to the exchange / clearing corporation / clearing house towards the margin obligations.

15. Proprietary Trading:

The stock broker hereby states & inform that it undertakes proprietary trading in Exchanges in addition to client based trading.

Client Signature

FH
12/13

POWER OF ATTORNEY

To all to whom these presents shall come I,, having Address at....., send greetings.

Whereas I/ we hold beneficial owner account number **DP Id****Client Id** _____ with _____, through _____ registered with Securities and Exchange Board of India (SEBI) and Trading Code _____ with _____.

And Whereas I/ we am/ are desirous to buy and sell securities through _____ who is a stock broker registered with SEBI and member of recognized stock exchange/s.

And Whereas I/ we am/ are desirous of appointing _____ as my/our constituted attorney to operate my/ our beneficial owner account and bank account on my/ our behalf for a limited purpose in the manner hereinafter appearing and subject to conditions as provided herein.

Now know you all and these presents witness that I/ we do hereby nominate, constitute and appoint _____ (hereinafter referred to as "the stock broker") as my true and lawful attorney and authorize it to perform the following functions on my behalf:

- I. To transfer securities held in my/our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the demat account nos.

Account Type	DP Name	DP ID	Client ID
CDSL BSE CM Pool A/c	Tradenext Securities Ltd.	12082900	00000671
CDSL NSE CM Pool A/c	Tradenext Securities Ltd.	12082900	00000952
NDSL NSE CM Pool A/c	IL&FS Securities Services Ltd	IN300095	12088956
NDSL BSE CM Pool A/c	IL&FS Securities Services Ltd	IN300095	12090043
NSE Early Pay-in A/c	NSCCL	11000011	00021136
BSE Early Pay-in A/c	ICCL	11000010	00024428

of stock broker maintained for the purpose of settlement obligations arising out of trades executed by me/us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only

transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined/ will join as a member or to any demat accounts linked to the said Clearing Member ID provided that I/we have executed a Client Member Agreement with the stock broker for such exchanges.

- ii. To pledge the securities in favor of _____ in below margin accounts for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the CNB Finwiz Private limited.

Account Type	DP Name	DP ID	Client ID
CM-Client Securities Margin Pledge Account	Tradenext Securities Ltd.	12082900	00002069
TM/CM - Client Securities Margin Pledge Account	Tradenext Securities Ltd.	12082900	00002041


- iii. To re-pledge the securities pledged by me as stated in para ii above in favor of the clearing member / clearing corporation as the case may be.”
- iv. To return to me/us, the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me/us;
- v. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral/written/electronic instructions given by me/us to the stock broker.
- vi. Further the Stock Broker is hereby authorized to send consolidated summary of client's script wise buy and sell positions taken with the average rates to me/us by way of SMS / Email on a daily basis.

I/We ratify the instructions given by the aforesaid stock broker to the depository participant named herein above in the manner specified herein.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at _____.

 _____

(First/Sole Holder Signature)

 _____

(Second Holder Signature)

 _____

(Third Holder Signature)

Date : DD / MM / YYYY

Place: _____

Signature of Witness:

 _____

Name: _____

Address: _____

I / We accept (For Tradenext Securities Limited)

 **Authorised Signatory:** _____

Date _____

Tradenext Securities Limited

SEBI Registration No.			Registered office address	Corporate office address
NSE	INZ000009530	14940	Flat no.214, B-Block, Mahatta Tower, Community Center, Janakpuri New Delhi, New Delhi - 110058 Tel. No.: 011-4937777	5th Floor, 504, Antriksh Thakoor House, Makawana Road, Marol, Andheri East, Mumbai - 400059 Tel.No.: 022-6827 5300
BSE	INZ000009530	6621		
CDSL	IN-DP-229-2016			
BSE CDS	Exchange Registered in Currency Derivatives			

Trading & Clearing Member (Cash / Capital Market Segment & Derivatives / F & O Segment, Currency Segment & IRF)

In case of any grievances mail to compliance@espscapital.com

	Name	Contact no.	Email ID
Designated Director	Ashok Kumar Singh	022 - 6827 5300	ashoks@tradenextindia.com
Compliance / Principal Officer	Sumit Galhotra	022 - 6827 5300	compliance@tradenextindia.com
Grievance	Ashok Kumar Singh	022 - 6827 5300	grievance@tradenextindia.com

In case not satisfied with the response, please contact concerned exchanges at:

Exchange	Regional Arbitration Centre	States and Union Territories covered by the Regional Arbitration Centre
NSE	Exchange Plaza, 5th Floor, Plot no. C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai - 400 051. Tel No: 6598190 (IGC)/ 6598192 (Arbitration) Fax No: 6598191, Email : ignse@nse.co.in	All over India
BSE	Regional Office West, Department of Investor Services, P J Towers, 1st floor, Dalal Street, Fort, Mumbai 400001. Telephone Number: 022-22721233/34 Fax No.: 022- 22723677 E-mail Id: is@bseindia.com ;	Maharashtra, Gujarat, Goa, Daman & Diu, Dadra & Nagar Haveli, Madhya Pradesh
BSE	Regional Office North, 7th Floor, Mercantile House K G Marg New Delhi - 110 001. Telephone Number: 011- 41510481 Telefax No.:011-41510480 E-mail Id: iscdelhi@bseindia.com ; ritesh.kumar@bseindia.com	Delhi, Haryana, Uttar Pradesh, Uttaranchal, Himachal Pradesh, Punjab, Jammu & Kashmir, Chandigarh, Rajasthan
BSE	Regional Office East, 1st Floor, Kishor Bhaban 17, and R. N. Mukherji Road Kolkata 700 001 Telephone Number: 033-22133184, Telefax No.:033-22130530 E-mail Id: isc.kolkata@bseindia.com ; anirban.guha@bseindia.com	West Bengal, Bihar, Jharkhand, Orissa, Assam, Arunachal Pradesh, Mizoram, Manipur, Sikkim, Meghalaya, Nagaland, Tripura, Chhattisgarh
BSE	Regional Office South, No.4. Vijaya Towers, 3rd Floor Kodambakkam, High Road (Opp. to Palm Grove Hotel) Chennai 600 034 Telephone Number: 044-420089959 Telefax No.:044-42089958, E-mail Id: iscchennai@bseindia.com ; s.periyasamy@bseindia.com	Andhra Pradesh, Karnataka, Kerala, Tamil nadu, Andaman & Nicobar, Lakshadweep, Pondicherry.



ESPS CAPITAL

Knowledge Creates Wealth



Equity | Currency | Mutual Fund | Insurance
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ESPS Capital is a registered trademark of TSL.

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Registered Office: 214, 54-B Block, Community Centre, Mahatta Tower, Janakpuri, Delhi-110058

Tel.No.: 022 - 6827 5300 | **Toll- Free No:** 18002676520 | www.espscapital.com